

Complete this form with your Financial Advisor to change Investment Portfolios.

If you have questions, please call us at **866.529.2228**, Monday–Friday, 7 a.m. to 7 p.m. (Central).

## 1. Current Account Information

Account Number: \_\_\_\_\_

Account Owner Name (First, M.I., Last): \_\_\_\_\_

Daytime Phone Number: \_\_\_\_\_ Evening Phone Number: \_\_\_\_\_

Beneficiary Name (First, M.I., Last): \_\_\_\_\_

Beneficiary Date of Birth (MM/DD/YYYY): \_\_\_\_\_

## 2. New Investment Portfolios Check only one box: A, B, or C

(Your total Account balance will be transferred to the Portfolio(s) you select below AND all future contributions will be invested in the Portfolios you select below.)

**A. Age-Based Portfolios** (If you've checked box A, **select one** of the following)

Aggressive Portfolio     Moderate Portfolio     Conservative Portfolio

**B. Target Portfolios** (If you've checked box B, **select one** of the following)

Fund 100     Fund 80     Fund 60     Fund 40     Fund 20     Fixed Income Fund

**C. Individual Fund Portfolios** (If you've checked box C, **select any** of the following [must total 100%, only whole percentages allowed])

**Bank Savings**

\_\_\_\_\_ % Bank Savings 529 Portfolio

**Money Market**

\_\_\_\_\_ % State Street U.S. Government Money Market 529 Portfolio

**Fixed Income**

\_\_\_\_\_ % PIMCO Short-Term 529 Portfolio

\_\_\_\_\_ % Northern Funds Bond Index 529 Portfolio

\_\_\_\_\_ % Fidelity Advisor Investment Grade Bond 529 Portfolio

\_\_\_\_\_ % PGIM Total Return Bond 529 Portfolio

\_\_\_\_\_ % American Century Short Duration Inflation Protection Bond 529 Portfolio

\_\_\_\_\_ % BlackRock High Yield Bond 529 Portfolio

\_\_\_\_\_ % AB Global Bond 529 Portfolio

**Balanced**

\_\_\_\_\_ % T. Rowe Price Balanced 529 Portfolio

**Real Estate**

\_\_\_\_\_ % DFA Real Estate Securities 529 Portfolio

\_\_\_\_\_ % Principal Global Real Estate Securities 529 Portfolio

**Commodities**

\_\_\_\_\_ % Parametric Commodity Strategy 529 Portfolio

**Domestic (U.S.) Equity**

Large-Cap

\_\_\_\_\_ % DFA U.S. Large Cap Value 529 Portfolio

\_\_\_\_\_ % Northern Funds Stock Index 529 Portfolio

\_\_\_\_\_ % T. Rowe Price Large-Cap Growth 529 Portfolio

Mid-Cap

\_\_\_\_\_ % Northern Funds Mid Cap Index 529 Portfolio

## 2. New Investment Portfolios (Continued)

### Small-Cap

- \_\_\_\_\_ % T. Rowe Price Small-Cap Value 529 Portfolio
- \_\_\_\_\_ % Northern Funds Small Cap Index 529 Portfolio
- \_\_\_\_\_ % T. Rowe Price Integrated U.S Small-Cap Growth Equity 529 Portfolio

### International Equity

- \_\_\_\_\_ % Northern Funds International Equity Index 529 Portfolio
- \_\_\_\_\_ % Neuberger Berman International Select 529 Portfolio
- \_\_\_\_\_ % DFA International Small Company 529 Portfolio
- \_\_\_\_\_ % Vanguard Emerging Markets Select Stock 529 Portfolio

## 3. Authorization

I hereby authorize and direct the change of Investment Portfolio(s) to the investments I selected in Section 2. **I acknowledge that my total Account balance will be transferred to the Portfolio(s) I selected in Section 2 AND all future Contributions will be invested in the Portfolio(s) selected above.** I certify that all the information contained herein is true and correct and that I have read the Program Disclosure Statement and understand the rules and requirements governing investment changes.

I acknowledge that neither the principal contributed to an Account, nor earnings thereon, are guaranteed or insured by the Federal Deposit Insurance Corporation (except for the Bank Savings 529 Portfolio underlying investment) or any other governmental agency; are not guaranteed or insured by the State of Alabama, the State Treasurer of Alabama, the Board or Union Bank and Trust Company, Northern Trust Securities, Inc. or any of their respective authorized agents or affiliates; and are subject to investment risk, including loss of principal.

### Signature and Date Required

X \_\_\_\_\_  
Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee Date

\_\_\_\_\_  
Print Name Here

\_\_\_\_\_  
Title (if other than an individual)

### If the Account Owner is a trust and there is more than one trustee, the additional trustee must sign here.

X \_\_\_\_\_  
Signature of Co-Trustee

\_\_\_\_\_  
Print Name Here Date



Offered by the  
State of Alabama

Northern Trust  
Securities, Inc.  
Distributor

UBT 529 Services a Division of  
**UBT**  
Union Bank & Trust  
Program Manager